



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
-----------------	-------------	----------------------	---------------------	------------------

10/723,242

11/26/2003

Matti S. Hamalainen

915-007.060

6033

4955 7590 10/01/2007

WARE FRESSOLA VAN DER SLUYS & ADOLPHSON, LLP  
BRADFORD GREEN, BUILDING 5  
755 MAIN STREET, P O BOX 224  
MONROE, CT 06468

EXAMINER

STOFFREGEN, JOEL

ART UNIT

PAPER NUMBER

2626

MAIL DATE

DELIVERY MODE

10/01/2007

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/723,242	<b>Applicant(s)</b> HAMALAINEN ET AL.	
	<b>Examiner</b> Joel Stoffregen	<b>Art Unit</b> 2626	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 23 July 2007.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 1-6 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-6 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |   |   |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)  | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date <u>11/26/2003</u> | 6) <input type="checkbox"/> Other: _____  |

## **DETAILED ACTION**

### ***Election/Restrictions***

1. Applicant's election with traverse of claims 1-6 in the reply filed on 07/23/2007 is acknowledged.

### ***Claim Objections***

2. The claims are objected to because of the following informalities:

**Claims 1, 3, 5, and 6**, use the phrases "may comprise" and "may be reused".

These phrases are indefinite. More precise terminology, such as "comprises" and "are reused" should be used.

**Claim 5**, p. 29, lines 14-15, reads, "save an internal state of said audio decoder into said storage component". However, there are two storage components in the claim. The claim should refer to "said second storage component".

Appropriate correction is required.

### ***Claim Rejections - 35 USC § 103***

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Art Unit: 2626

4. **Claims 1-6** are rejected under 35 U.S.C. 103(a) as being unpatentable over Cole, Patent No.: US 5,890,115 ("COLE"), in view of Wiggins, Jr. et al., Patent No.: 4,304,964 ("WIGGINS").

5. Regarding **claim 1**, COLE teaches a method for use in a wavetable based sound synthesis for which encoded wavetable data is decoded by means of an audio decoder on a frame-by-frame basis ("the wavetable synthesizer 700 processes voices in frames", COLE, column 14, lines 41-42), each frame comprising at least one sample ("one sample period is called a frame", COLE, column 10, line 45), wherein said encoded wavetable data comprises samples in an attack section ("an attack stage", COLE, column 13, line 55) and samples in a loop section ("a sustain stage", COLE, column 13, line 55), which samples of said loop section may be reused for a playback in a loop as often as required ("loop through some range of data", COLE, column 18, line 19), said method comprising:

a) decoding consecutive frames of said wavetable data starting with a first frame up to a frame which includes a start of said loop section ("step directly through the stored data", COLE, column 18, lines 18-19); and

c) decoding subsequently all frames comprising samples of said loop section ("loop through some range of data", COLE, column 18, line 19) and providing said decoded frames for further processing for a playback ("playing back of digital files", COLE, column 18, line 30).

However, COLE does not disclose steps b) and d). In the same field of speech synthesis, WIGGINS teaches:

b) saving an internal state of said audio decoder before starting to decode said frame including the start of said loop section ("the K1-K10 coefficients previously inputted remain unchanged", WIGGINS, column 16, lines 20-21); and

d) at least if said samples of said loop section are distributed to more than one frame ("a repeat frame is used", WIGGINS, column 16, line 18), restoring said internal state of said audio decoder, saved at step b) ("the K1-K10 coefficients previously inputted remain unchanged", WIGGINS, column 16, lines 20-21).

Therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to save speech parameters as taught by WIGGINS during the loop sections of COLE in order to reduce the data rate (WIGGINS, column 2, line 34).

6. Regarding **claim 2**, WIGGINS further teaches that each decoded frame is stored for said further processing by substituting a preceding frame in a storage component ("a repeat frame is used", WIGGINS, column 16, line 18), and wherein a respective next frame is only decoded at a time when samples of a further frame are needed (see WIGGINS, FIG. 6, decoding continues when a frame other than the "repeat frame" is sent).

7. Regarding **claim 3**, COLE teaches a device comprising:

an audio decoder decoding received wavetable data on a frame-by-frame basis ("the wavetable synthesizer 700 processes voices in frames", COLE, column 14, lines 41-42), each frame comprising at least one sample ("one sample period is called a frame", COLE, column 10, line 45), wherein said encoded wavetable data may comprise samples in an attack section ("an attack stage", COLE, column 13, line 55) and samples in a loop section ("a sustain stage", COLE, column 13, line 55), which samples of said loop section may be reused for a playback in a loop as often as required ("loop through some range of data", COLE, column 18, line 19);

a storage component for saving an internal state of said audio decoder ("register array 718", COLE, column 18, line 3); and

a controller ("control logic 732", COLE, column 18, lines 12-13), which controller causes said audio decoder to decode subsequently all frames comprising samples of said loop section ("loop through some range of data", COLE, column 18, line 19) and to provide said decoded frames for further processing for a playback ("playing back of digital files", COLE, column 18, line 30) ... and to repeat decoding subsequently all frames comprising said samples of said loop section ("loop through some range of data", COLE, column 18, line 19).

However COLE does not disclose saving or restoring an internal state of the audio decoder. In the same field of speech synthesis, WIGGINS teaches saving an internal state of an audio decoder into a storage component ("ROM 203", WIGGINS, column 16, line 51) before decoding a next frame ("the K1-K10 coefficients previously inputted remain unchanged", WIGGINS, column 16, lines 20-21), if said next frame

Art Unit: 2626

includes a start of a loop section ("a repeat frame is used", WIGGINS, column 16, line 18), and restoring said internal state saved in said storage component ("the K1-K10 coefficients previously inputted remain unchanged", WIGGINS, column 16, lines 20-21).

Therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to save speech parameters as taught by WIGGINS during the loop sections of COLE in order to reduce the data rate (WIGGINS, column 2, line 34).

8. Regarding **claim 4**, COLE further teaches a second storage component ("wavetable memory 610", COLE, column 18, lines 62-63) for storing the respective last decoded frame provided by said audio decoder ("a first sample ... addressed by the current synthesizer address register", COLE, column 18, lines 62-64) and for providing samples of a respectively stored frame for further processing for a playback ("playing back of digital files", COLE, column 18, line 30).

9. Regarding **claim 5**, COLE teaches a wavetable based sound synthesis system comprising:

a first storage component for storing encoded wavetable data ("wave table data in the local memory 730", COLE, column 18, lines 1-2);

an audio encoder for encoding a wavetable on a frame-by-frame basis and for storing resulting wavetable data in said first storage component ("the wavetable synthesizer 700 processes voices in frames", COLE, column 14, lines 41-42);

an audio decoder decoding received wavetable data on a frame-by-frame basis ("the wavetable synthesizer 700 processes voices in frames", COLE, column 14, lines 41-42), each frame comprising at least one sample ("one sample period is called a frame", COLE, column 10, line 45), wherein said encoded wavetable data may comprise samples in an attack section ("an attack stage", COLE, column 13, line 55) and samples in a loop section ("a sustain stage", COLE, column 13, line 55), which samples of said loop section may be reused for a playback in a loop as often as required ("loop through some range of data", COLE, column 18, line 19);

a second storage component for saving an internal state of said audio decoder ("register array 718", COLE, column 18, line 3); and

a controller ("control logic 732", COLE, column 18, lines 12-13), which controller causes said audio decoder to decode subsequently all frames comprising samples of said loop section ("loop through some range of data", COLE, column 18, line 19) and to provide said decoded frames for further processing for a playback ("playing back of digital files", COLE, column 18, line 30) ... and to repeat decoding subsequently all frames comprising said samples of said loop section ("loop through some range of data", COLE, column 18, line 19).

However COLE does not disclose saving or restoring an internal state of the audio decoder. In the same field of speech synthesis, WIGGINS teaches saving an internal state of an audio decoder into a storage component ("ROM 203", WIGGINS, column 16, line 51) before decoding a next frame ("the K1-K10 coefficients previously inputted remain unchanged", WIGGINS, column 16, lines 20-21), if said next frame



Art Unit: 2626

includes a start of a loop section ("a repeat frame is used", WIGGINS, column 16, line 18), and restoring said internal state saved in said storage component ("the K1-K10 coefficients previously inputted remain unchanged", WIGGINS, column 16, lines 20-21).

Therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to save speech parameters as taught by WIGGINS during the loop sections of COLE in order to reduce the data rate (WIGGINS, column 2, line 34).

10. Regarding **claim 6**, COLE teaches a software program product in which a software code for supporting a wavetable based sound synthesis is stored, for which wavetable based sound synthesis encoded wavetable data is decoded by means of an audio decoder decoding received wavetable data on a frame-by-frame basis ("the wavetable synthesizer 700 processes voices in frames", COLE, column 14, lines 41-42), each frame comprising at least one sample ("one sample period is called a frame", COLE, column 10, line 45), wherein said encoded wavetable data may comprise samples in an attack section ("an attack stage", COLE, column 13, line 55) and samples in a loop section ("a sustain stage", COLE, column 13, line 55), which samples of said loop section may be reused for a playback in a loop as often as required ("loop through some range of data", COLE, column 18, line 19), said software code realizing the following steps when running in a processing component which is connected to said audio decoder:

causing said audio decoder to decode subsequently all frames comprising samples of said loop section ("loop through some range of data", COLE, column 18, line 19) and to provide said decoded frames for further processing for a playback ("playing back of digital files", COLE, column 18, line 30) ... and to repeat decoding subsequently all frames comprising said samples of said loop section ("loop through some range of data", COLE, column 18, line 19).

However COLE does not disclose saving or restoring an internal state of the audio decoder. In the same field of speech synthesis, WIGGINS teaches saving an internal state of an audio decoder into a storage component ("ROM 203", WIGGINS, column 16, line 51) before decoding a next frame ("the K1-K10 coefficients previously inputted remain unchanged", WIGGINS, column 16, lines 20-21), if said next frame includes a start of a loop section ("a repeat frame is used", WIGGINS, column 16, line 18), and restoring said internal state saved in said storage component ("the K1-K10 coefficients previously inputted remain unchanged", WIGGINS, column 16, lines 20-21).

Therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to save speech parameters as taught by WIGGINS during the loop sections of COLE in order to reduce the data rate (WIGGINS, column 2, line 34).

**Conclusion**

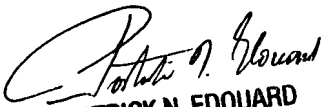
11. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. A list of the pertinent prior art can be found on the included form PTO-892 Notice of References Cited.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Joel Stoffregen whose telephone number is (571) 270-1454. The examiner can normally be reached on Monday - Friday, 9:00 a.m. - 6:30 p.m..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Patrick Edouard can be reached on (571) 272-7603. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

JS

  
PATRICK N. EDOUARD  
SUPERVISORY PATENT EXAMINER